

Securities Services Charges (Applicable to Company Account Customers)

Local Securities ⁽¹⁾									
Service	Securities listed on HKEx			Bond		CD / Other Structured Product ⁽²⁾			
	Handling Charge	Min	Max	RMB Bond	Others				
(A) Trade-Related Services ⁽³⁾									
Brokerage Fee (Through Internet, Manned Hotline and Designated Branches)	0.25% of transaction amount	HK\$100	---	N.A.	N.A.	N.A.			
Exchange Listed Notes and Bonds	0.2% of transaction amount	HK\$100	---						
Transaction Levy (collected by SFC)	0.004% of transaction amount	---	---						
Trading Fee (collected by HKEx)	0.005% of transaction amount	---	---						
Stamp Duty (collected by HKSAR Government)	HK\$1 for every HK\$1,000 of transaction amount or part thereof	---	---						
Deposit Charge for Purchase Orders	HK\$5/lot waived if securities are sold before settlement	HK\$30	HK\$188						
(B) Scrip Handling & Settlement-Related Services									
Physical scrip deposit									
- Deposit Fee	HK\$30/securities/transaction	---	---	N.A.	N.A.	N.A.			
- Transfer deed stamp duty (collected by HKSAR Government)	HK\$5 for each transfer deed	---	---						
Physical scrip withdrawal									
- Withdrawal Fee	HK\$3.5/lot	HK\$30	---						
Through Central Clearing and Settlement System (CCASS)									
- Deposit Fee	Free	---	---						
- Withdrawal Fee	HK\$3.5/lot	HK\$30	---						
Non - Central Clearing and Settlement System (Non - CCASS) Transfer									
Transfer in / out Fee with other custodian	N.A.	---	---	HK\$500/instruction		N.A.			
(C) Account Maintenance									
Custody Fee (custody fee will only be charged once even though the securities account hold both securities and bonds during that month)	HK\$20/month no custody fee will be applied to account with no holdings and transactions during that month	---	---	Free	HK\$20/month	Free			
(D) Nominee Services & Corporate Actions									
- Collection of Dividends/Interest	0.5% on amount collected	HK\$30	HK\$2,000	Free		Free			
- Scrip Dividends									
- Cash Offer									
- Privatisation									
- Conversion of Warrants (Cash Settled)									
- Receipt of Bonus Issues	HK\$30/transaction	---	---	N.A.		N.A.			
- Shares Consolidation/ Splitting									
- Right Issues									
- Conversion of Warrants (Receipt of Securities)									
Maturity Redemption of Exchange Listed Notes and Bonds	0.2% of redemption amount	---	HK\$300	Free					
(E) Other Services									
Unclaimed Benefit Entitlement	HK\$150/transaction plus relevant CCASS charges plus: a) Dividend: 0.5% on amount collected b) Bonus Issue: HK\$30 per distribution	HK\$30	HK\$2,000			N.A.			
Application of Newly-listed Securities/Notes	Subscribe under Hang Seng Nominee: - HK\$100 per application Subscribe in client's own name with endorsement of the Bank: - HK\$50 per application								

Local Securities ⁽¹⁾						
Service	Securities listed on HKEx			Bond		CD / Other Structured Product ⁽²⁾
	Handling Charge	Min	Max	RMB Bond	Others	
(E) Other Services (cont'd)						
Transfer of Entitlement (from one customer to another)	a) 0.125% of transfer value (both parties are required to pay) b) Stamp Duty (collected by HKSAR Government): HK\$1 for every HK\$1,000 of transaction amount or part thereof (both parties are required to pay)	HK\$100	---	N.A.	N.A.	N.A.
	c) Withdrawal Fee: HK\$3.5/lot	HK\$30	---			
	d) Transfer deed stamp duty (collected by HKSAR Government): HK\$5 for each transfer deed	---	---			
	e) Physical Scrip Deposit Fee: HK\$30/securities/transaction	---	---			
Certificate of Account Balance	HK\$100 per certificate (HK\$100 for each additional account)					
Re-issuance of Transaction Advice	HK\$100 per copy					
Photocopying of: - Statement (per cycle)	HK\$50 per cycle					

Shenzhen and Shanghai B Shares ⁽⁴⁾			
Service	Handling Charge	Min	Max
Shenzhen			
Brokerage Fee	0.8% of transaction amount	HK\$400	---
Transaction Levy	0.0341% of transaction amount	---	---
Stamp Duty	0.1% of transaction amount (For Sale Transactions Only)	---	---
Clearing Fee	0.05% of transaction amount	---	---
Handling Charge for Securities Transfer	HK\$300 per request for each securities	---	---
Deposit Charge for Purchase Orders	HK\$30 per request	---	---
Shanghai			
Brokerage Fee	0.8% of transaction amount	HK\$400	---
Transaction Levy	0.0255% of transaction amount	---	---
Stamp Duty	0.1% of transaction amount (For Sale Transactions Only)	---	---
Clearing Fee	0.05% of transaction amount	---	---
Administration Fee	0.0045% of transaction amount	---	---
Handling Charge for Securities Transfer	HK\$700 per request for each securities	---	---
Deposit Charge for Purchase Orders	HK\$30 per request	---	---

Singapore Securities - Jardine Group Stocks only ⁽⁴⁾			
Service	Handling Charge	Min	Max
Brokerage Fee	0.5% of transaction amount	HK\$100	---
Handling Charge	0.25% of transaction amount	---	---
Trading Fee	0.0075% of transaction amount	---	---
Clearing Fee	0.04% of transaction amount	---	US\$393
Deposit Charge for Purchase Orders	HK\$10/lot for the first 100 lots HK\$3.5/lot from 101st lot onwards	HK\$30	HK\$2,000

- Not applicable to locally listed overseas securities. Society customers can only trade through Designated Branches. All charges are calculated on each securities each transaction basis, except for those items with other charge basis specified.
- Other structured products include equity-linked investment, equity-linked notes and equity-linked deposits, etc.
- For details of services charges of the ETF settled in US currency - ABF PAN ASIA BOND INDEX FUND (Stock Code: 02821), please refer to the Securities Services Charges for Overseas Securities.
- Except listed charges, all other charges are the same as those for local securities.

Customers may be required to pay the costs, fees and charges incurred or charged by third parties in relation to the provision of securities trading, custodian and/or nominee services by the Bank. Such third party costs, fees and charges may vary and will be determined by the Bank.

All fees and charges determined by The Government of Hong Kong Special Administrative Region/HKEx/SFC are subject to change without prior notice. Hang Seng Bank Limited reserves the right to revise any charges, special offers and other services from time to time.

Market Information Services Charges (including the US Securities Listed in HK and Exchange Traded Funds)

Service	Charge	Minimum	Remarks
Real-time Quote Service Plan (via Hang Seng Business e-Banking Services)	HK\$0.05 / quote	HK\$10 / month	<p>FREE Real-time Quote per month: 500</p> <p>Additional FREE Real-time Quote:</p> <ul style="list-style-type: none"> 200 for the respective month for every successful buy/sell transaction or every HKD50,000 of accumulated turnover (whichever number of free real-time quotes enjoyed is higher). 250 if there are holdings in the securities account(s) in that month. <p>Additional free real-time quote earned from successful transactions may be carried forward to the next month and is determined in accordance with the following:</p> <ul style="list-style-type: none"> (A) - The balance of total additional free real-time quotes earned from successful buy/sell transactions during the month <u>LESS</u> the total additional free real-time quotes earned from successful buy/sell transactions in the first 24 calendar days of the month; or (B) - The number of unused free real-time quotes of the month; Compare (A) with (B), the lower of the two can be carried forward to the next month; The additional free real-time quotes that are carried forward can only be used in the immediate following month and will be cancelled automatically if such free real-time quotes are not used in that month.

Example (Assume a customer has an Integrated Business Solutions Account with Securities Account) (For reference only)

Case 1

In August, the real-time quote usage of both of your company's Primary Users is 500 in total. They have traded 2 times and your company's total turnover is HKD180,000. Based on turnover and securities holdings, your company will enjoy 600 and 250 additional free real-time quotes respectively. Therefore, together with the basic free entitlement of 500, your company will have 1,350 free real-time quotes (500 + 600 + 250) and does not need to pay any charges.

Case 2

In August, your company's Primary Users have used 2,000 real-time quotes and your company does not have any trades and securities holdings. Your company's basic free entitled quotes are 500. Therefore, your company needs to pay HKD75, i.e. HKD0.05 x (2,000 quotes - 500 quotes).

Case 3

Assume the securities transactions of the month:

		1st - 24th of Month [c]	During the Month [d]	
(1)	Accumulated Turnover	HKD200,000	HKD201,000	
	Total No. of Transactions	1	2	
	Additional Free Real-time Quotes Earned from Successful Transactions during the Period	800	800	
	No. of Free Real-time Quotes that can be Accumulated [d] - [c]			0 (A)
(2)	Basic Free Real-time Quotes	500		
	Additional Free Real-time Quotes Earned from Securities Holdings during the Month	250		
	Total Free Real-time Quotes of the Month	500 + 800 + 250 = 1,550		
	Real-time Quotes Used in the Month	1,000		
	No. of Unused Free Real-time Quotes of the Month			550 (B)
The No. of Free Real-time Quotes that can be Carried Forward to the Next Month (the lower of A and B)				0

Based on the trading activities above, the customer can enjoy more free real-time quotes by using the total trading turnover to determine the number of additional free real time quotes earned from his/her successful transactions for the month. During the month, the customer will enjoy 800 additional free real-time quotes. The number of unused free real-time quotes that can be carried forward to the next month will be the lower of (A) and (B):

(A) Total additional free real-time quotes earned from successful buy/sell transactions in the month LESS the total additional free real-time quotes earned from successful buy/sell transactions in the first 24 calendar days of the month; [d] - [c], i.e. 800 - 800 = 0

(B) The number of unused free real-time quotes of the month; i.e. 1,550 - 1,000 = 550

Compare (A) the number of additional free real-time quotes that can be accumulated with (B) the number of unused free real-time quotes (whichever is lower), there will be no additional free real-time quote that can be carried forward to the immediate following month.



Case 4

Assume the securities transactions of the month:

		1st - 24th of Month [c]	During the Month [d]	
(1)	Accumulated Turnover	HKD200,000	HKD201,000	
	Total No. of Transactions	4	5	
	Additional Free Real-time Quotes Earned from Successful Transactions during the Period	800	1,000	
	No. of Free Real-time Quotes that can be Accumulated [d] - [c]			200 (A)
(2)	Basic Free Real-time Quotes	500		
	Additional Free Real-time Quotes Earned from Securities Holdings during the Month	250		
	Total Free Real-time Quotes of the Month	500 + 1,000 + 250 = 1,750		
	Real-time Quotes Used in the Month	1,400		
	No. of Unused Free Real-time Quotes of the Month			350 (B)
The No. of Free Real-time Quotes that can be Carried Forward to the Next Month (the lower of A and B)				200

Based on the trading activities above, the customer can enjoy more free real-time quotes by using the total number of transactions to determine the number of additional free real time quotes earned from his/her successful transactions for the month. During the month, the customer will enjoy 1,000 additional free real-time quotes. The number of unused free real-time quotes that can be carried forward to the next month will be the lower of (A) and (B):

(A) Total additional free real-time quotes earned from successful buy/sell transactions in the month LESS the total additional free real-time quotes earned from successful buy/sell transactions in the first 24 calendar days of the month; [d] - [c], i.e. 1,000 - 800 = 200

(B) The number of unused free real-time quotes of the month; i.e. 1,750 - 1,400 = 350

Compare (A) the number of additional free real-time quotes that can be accumulated with (B) the number of unused free real-time quotes (whichever is lower), there will be 200 additional free real-time quote that can be carried forward to the immediate following month.

Important Notes

- Real-time Quote Service Plan is only applicable to Securities Account holders who have registered for the securities services of Hang Seng Business e-Banking only.
- According to HKEx, stock quote used from market open of each trading session to 15 minutes after market close of each trading session will be counted as real-time quotes usage. That is, stock quotes on Exchange Traded Funds traded under the Pilot Programme during 09:30 to 16:15, and stock quotes on other products during 9:30 to 12:45 and 14:30 to 16:15, will be counted as real-time quotes.
- Real-time Quote Usage includes stock quote enquiries via Real-time Quote function on the securities trading page in Hang Seng Business e-Banking.
- All Hang Seng Business e-Banking customer(s), including the related Primary User(s), with identical company registration number (i.e. Certificate of Incorporation, Business Registration Certificate, or other registration certificate) is/are only entitled to ONE Real-time Quote Service Plan.
- Transaction and turnover will be counted by combining the local securities trading records of all Securities Account(s) with identical registration number.
- Fees of current month will be charged to your company's following account at the beginning of the following month. If your company has more than one Securities Account with identical registration number, we will select and debit one of your company's settlement accounts in the following priority:
 - 1st Priority: Settlement account of Integrated Business Solutions Account prevails HKD Current settlement account; HKD Current settlement account prevails HKD Savings settlement account
 - 2nd Priority: Settlement account with the latest account opening date prevails settlement account(s) with earlier account opening date(s)
- If your company's settlement account(s) balance cannot settle the fee(s) in full, the Bank reserves the right to set off the fees at any of your company's HKD accounts with the Bank.

Hang Seng Bank reserves the rights on all matters related to the above service plan.

Hang Seng Bank Limited
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