

零售債券風險程度一覽表 (2021 年 7 月 26 日 更新)
Retail Bond Risk Rating (Updated on 26 Jul 2021)

發債機構 Issuer / 證券編號 Sec ID	信貸評級 ¹ Credit Rating ¹	年票面息率 Coupon (%per annum)	到期日 Maturity	產品風險程度 ² / Product Risk Level ²	
				As at 6/30/2021	As at 12/31/2020
Hutchison Whampoa International 03/33 Ltd (K439)	A2 / A / A-	7.45%	11/24/2033	2	2
HSBC Holdings PLC (K450)	Baa1 / BBB / A-	6.5%	5/2/2036	5	5
Australia Government Bond (K484)	Aaa / N/A / AAA	5.75%	7/15/2022	1	1
Hutchison Whampoa International 11 Ltd (K510)	A2 / A / A-	4.625%	1/13/2022	2	2
Sun Hung Kai Properties Capital Market Ltd (K511)	A1 / A+ / A	4.5%	2/14/2022	2	2
Swire Properties MTN Financing Ltd (K512)	A2 / NR / A	4.375%	6/18/2022	2	2
CLP Power Hong Kong Financing Ltd (K528)	A1 / A+ / N/A	2.875%	4/26/2023	2	2
Wheelock Finance Ltd (K581)	N/A / N/A / N/A	4.3%	1/21/2022	5	5
Link Finance Cayman 2009 Ltd/The (K603)	A2 / A / N/A	3.3%	10/4/2021	2	2
Nan Fung Treasury Ltd (K604)	Baa3 / BBB- / N/A	3.85%	1/30/2023	3	3
Nan Fung Treasury Ltd (K605)	Baa3 / BBB- / N/A	4.125%	10/10/2022	3	3
Nan Fung Treasury Ltd (K606)	Baa3 / BBB- / N/A	4.425%	8/29/2022	3	3
Yancoal International Resources Development Co Ltd (K613)	Ba1 / BB / BB+	5.73%	5/16/2022	4	4
Temasek Financial I Ltd (K622)	Aaa / AAA / N/A	2.375%	1/23/2023	1	1
Indonesia Government International Bond (K629)	Baa2 / BBB / BBB	5.875%	1/15/2024	3	3
Greenland Global Investment Ltd (K635)	Ba2 / BB- / BB-	5.875%	7/3/2024	4	4
China Overseas Finance Cayman VI Ltd (K641)	Baa1 / BBB+ / A-	5.95%	5/8/2024	3	3
Sinopec Capital 2013 Ltd (K642)	A1 / A+ / N/A	3.125%	4/24/2023	2	2
Nestle Finance International Ltd (K643)	Aa3 / AA- / A+	2.25%	11/30/2023	2	2
CITIC Ltd (K645)	A3 / BBB+ / N/A	6.8%	1/17/2023	3	3
China Cinda Finance 2014 Ltd (K648)	A3 / A- / A	5.625%	5/14/2024	2	2
Link Finance Cayman 2009 Ltd/The (K653)	A2 / A / N/A	3.6%	9/3/2024	2	2
Hutchison Whampoa International 14 Ltd (K654)	A2 / A / A-	3.625%	10/31/2024	2	2
King Power Capital Ltd (K656)	A3 / A- / N/A	5.625%	11/3/2024	2	2

China Shenhua Overseas Capital Co Ltd (K660)	WR / NR / WD	3.875%	1/20/2025	5	5
HSBC Holdings PLC (K665)	A3 / A- / A+	6.5%	5/20/2024	4	4
China Cinda Finance 2015 I Ltd (K668)	A3 / A- / A	4.25%	4/23/2025	2	2
HKT Capital No 2 Ltd (K670)	Baa2 / BBB / N/A	3.625%	4/2/2025	3	3
Huarong Finance II Co Ltd (K676)	Baa2 / BBB+ / BBB	5.5%	1/16/2025	5	3
NWD MTN Ltd (K681)	N/A / N/A / N/A	4.375%	11/30/2022	4	4
Hutchison Whampoa International 12 II Ltd (K683)	A2 / A / A-	3.25%	11/8/2022	2	2
Nan Fung Treasury Ltd (K684)	Baa3 / BBB- / WD	4.5%	9/20/2022	3	3
Hongkong Land Finance Cayman Islands Co Ltd/The (K691)	A2 / A / N/A	4.625%	1/16/2024	2	2
NWD MTN Ltd (K693)	N/A / N/A / N/A	4.65%	3/16/2026	4	4
Hongkong Electric Finance Ltd (K694)	N/A / A- / N/A	2.875%	5/3/2026	2	2
Value Success International Ltd (K702)	N/A / N/A / N/A	3%	9/28/2021	3	3
Swire Properties MTN Financing Ltd (K703)	A2 / NR / A	3.625%	1/13/2026	2	2
TVB Finance Ltd (K705)	N/A / N/A / N/A	3.625%	10/11/2021	5	5
MTR Corp CI Ltd (K707)	Aa3 / AA+ / N/A	2.5%	11/2/2026	1	1
Azure Nova International Finance Ltd (K708)	A2 / N/A / A	2.625%	11/1/2021	2	2
Qantas Airways Ltd (K710)	Baa2 / NR / N/A	4.4%	10/10/2023	3	3
CITIC Ltd (K711)	A3 / BBB+ / N/A	2.8%	12/14/2021	3	3
CITIC Ltd (K712)	A3 / BBB+ / N/A	3.7%	6/14/2026	3	3
Bank of China Ltd/Hong Kong (K716)	A1 / A / A	3%	2/14/2022	2	2
Asian Development Bank (K718)	Aaa / AAA / AAA	2.25%	9/16/2021	1	1
NWD MTN Ltd (K719)	N/A / N/A / N/A	3.5%	3/27/2024	4	4
China Government Bond (K721)	N/A / N/A / A+	4.1%	6/26/2022	2	2
CK Hutchison International 17 Ltd (K723)	A2 / A / A-	2.875%	4/5/2022	2	2
CK Hutchison International 17 Ltd (K724)	A2 / A / A-	3.5%	4/5/2027	2	2
Franshion Brilliant Ltd (K725)	Baa3 / BBB- / BBB-	3.6%	3/3/2022	3	3
Swire Pacific MTN Financing Ltd (K726)	A3 / A- / A-	4.5%	2/28/2022	2	2
Longfor Group Holdings Ltd (K727)	N/A / N/A / BBB	3.875%	7/13/2022	3	3
Indonesia Government International Bond (K728)	Baa2 / N/A / BBB	4.35%	1/8/2027	3	3
Castle Peak Power Finance Co Ltd (K729)	A1 / AA- / N/A	3.25%	7/25/2027	2	2
Swire Properties MTN Financing Ltd (K730)	A2 / N/A / N/A	2.55%	8/18/2024	2	2
HPHT Finance 17 Ltd (K732)	Baa1 / A- / N/A	2.75%	9/11/2022	3	3
Optus Finance Pty Ltd (K735)	A3 / A- / N/A	3.25%	8/23/2022	2	2
Sun Hung Kai Properties Capital Market Ltd (K736)	A1 / N/A / N/A	2.5%	9/5/2024	2	2
CK Hutchison International 17 II Ltd (K738)	A2 / A / A-	2.75%	3/29/2023	2	2
CK Hutchison International 17 II Ltd (K739)	A2 / A / A-	3.25%	9/29/2027	2	2
NWD MTN Ltd (K742)	N/A / N/A / N/A	4.7%	3/8/2026	4	4
Swire Pacific MTN Financing Ltd (K747)	A3 / N/A / N/A	3%	7/5/2024	2	2

CK Hutchison International 16 Ltd (K748)	A2 / A / A-	1.875%	10/3/2021	2	2
CK Hutchison International 16 Ltd (K749)	A2 / A / A-	2.75%	10/3/2026	2	2
Commonwealth Bank of Australia (K750)	Aa3 / AA- / A+	3.25%	4/25/2023	2	2
Toronto-Dominion Bank/The (K768)	Aa1 / AA- / N/A	1.994%	3/23/2022	1	1
ICBCIL Finance Co Ltd (K769)	A2 / N/A / A	3.125%	11/15/2022	2	2
AIA Group Ltd (K771)	N/A / A+ / N/A	3.125%	3/13/2023	2	2
Hong Kong Government Bond Programme (K773)	N/A / N/A / N/A	3%	12/17/2021	1	1
Sinopec Century Bright Capital Investment Ltd (K774)	A1 / A+ / N/A	4.5%	10/31/2021	2	2
State Elite Global Ltd (K777)	A1 / A / N/A	2.75%	6/13/2022	2	2
CDBL Funding 2 (K779)	N/A / N/A / A+	3%	8/2/2021	2	2
CDBL Funding 2 (K780)	N/A / N/A / A+	2.9%	8/27/2021	2	2
Shui On Development Holding Ltd (K781)	N/A / N/A / N/A	6.25%	11/28/2021	5	5
Nan Fung Treasury Ltd (K782)	Baa3 / BBB- / WD	5%	9/5/2028	3	3
Commonwealth Bank of Australia (K783)	Aa3 / AA- / A+	3.25%	1/17/2022	2	2
CDBL Funding 2 (K785)	A2 / N/A / A+	3.75%	3/11/2022	2	2
CNAC HK Finbridge Co Ltd (K787)	N/A / BBB / A-	4.625%	3/14/2023	3	3
CNAC HK Finbridge Co Ltd (K788)	N/A / BBB / A-	4.875%	3/14/2025	3	3
CNAC HK Finbridge Co Ltd (K789)	N/A / BBB / A-	5.125%	3/14/2028	3	3
ICBCIL Finance Co Ltd (K791)	A2 / A / N/A	3.65%	3/5/2022	2	2
ICBCIL Finance Co Ltd (K792)	A2 / A / N/A	3.75%	3/5/2024	2	2
Henderson Land MTN Ltd (K794)	N/A / N/A / N/A	2.75%	5/24/2022	3	3
Hong Kong Government Bond Programme (K795)	N/A / N/A / N/A	3%	7/29/2022	1	1
Henderson Land MTN Ltd (K796)	N/A / N/A / N/A	3.875%	3/1/2029	3	3
Bank of China Ltd/Macau (K797)	A1 / A / A	3.3%	4/17/2022	2	2
Leading Affluence Ltd (K798)	Baa3 / N/A / BBB-	4.5%	1/24/2023	3	3
China Overseas Finance Cayman VIII Ltd (K799)	N/A / BBB+ / A-	2.9%	1/15/2025	3	3
China Overseas Finance Cayman VIII Ltd (K800)	N/A / BBB+ / A-	3.45%	7/15/2029	3	3
Franshion Brilliant Ltd (K801)	Baa3 / N/A / N/A	4.25%	7/23/2029	3	3
Volkswagen Financial Services Australia Pty Ltd (K802)	A3 / BBB+ / N/A	3.3%	2/28/2022	3	3
Haitong International Securities Group Ltd (K804)	Baa2 / BBB / N/A	3.375%	7/19/2024	3	3
NWD MTN Ltd (K805)	N/A / N/A / N/A	4.125%	7/18/2029	4	4
Industrial & Commercial Bank of China Ltd/London (K806)	A1 / N/A / N/A	1.5%	7/31/2022	2	2
Mercedes-Benz Australia/Pacific Pty Ltd (K807)	A3 / BBB+ / BBB+	1.625%	8/30/2022	3	3
Vodafone Group PLC (K808)	Baa2 / BBB / BBB	4.2%	12/13/2027	3	3

Sino-Ocean Land Treasure IV Ltd (K809)	Baa3 / N/A / BBB-	5.25%	4/30/2022	3	3
LS Finance 2017 Ltd (K810)	N/A / N/A / N/A	4.875%	7/15/2024	5	4
LS Finance 2025 Ltd (K811)	WR / N/A / WD	4.5%	6/26/2025	5	4
FWD Ltd (K812)	Baa3 / N/A / BBB	5%	9/24/2024	3	3
Daimler Canada Finance Inc (K813)	A3 / BBB+ / BBB+	2.23%	12/16/2021	3	3
Shimao Group Holdings Ltd (K814)	N/A / N/A / BBB-	5.6%	7/15/2026	4	4
Fortune Star BVI Ltd (K815)	Ba3 / BB / N/A	5.95%	1/29/2023	4	4
Fortune Star BVI Ltd (K816)	N/A / BB / N/A	6.75%	7/2/2023	4	4
Westwood Group Holdings Ltd (K819)	Baa3 / N/A / BBB-	5.375%	10/19/2023	3	3
Li & Fung Ltd (K820)	Baa3 / BBB- / N/A	4.375%	10/4/2024	3	3
Huarong Finance 2019 Co Ltd (K821)	Baa2 / N/A / BBB	3.25%	11/13/2024	5	3
Huarong Finance 2019 Co Ltd (K822)	Baa2 / N/A / BBB	3.875%	11/13/2029	5	3
SoftBank Group Corp (K823)	Ba3 / BB+ / N/A	5.5%	4/20/2023	4	4
SoftBank Group Corp (K824)	Ba3 / BB+ / N/A	6.125%	4/20/2025	4	4
RKPF Overseas 2019 A Ltd (K825)	Ba3 / BB- / N/A	6.7%	9/30/2024	4	4
Country Garden Holdings Co Ltd (K827)	N/A / N/A / BBB-	8%	1/27/2024	4	4
Country Garden Holdings Co Ltd (K828)	N/A / N/A / BBB-	5.125%	1/14/2027	4	4
Country Garden Holdings Co Ltd (K829)	N/A / N/A / BBB-	5.625%	1/14/2030	4	4
Shui On Development Holding Ltd (K830)	N/A / N/A / N/A	5.5%	3/3/2025	5	5
Rakuten Group Inc (K831)	N/A / BBB- / N/A	3.546%	11/27/2024	3	3
POSCO (K832)	Baa1 / BBB+ / N/A	2.375%	1/17/2023	3	3
POSCO (K833)	Baa1 / BBB+ / N/A	2.5%	1/17/2025	3	3
Amipeace Ltd (K834)	A1 / A / N/A	2.5%	12/5/2024	2	2
Hysan MTN Ltd (K835)	A3 / N/A / N/A	2.82%	9/4/2029	2	2
International Bank for Reconstruction & Development (K836)	Aaa / AAA / N/A	1.375%	2/19/2025	1	1
CDBL Funding 2 (K837)	N/A / N/A / A+	3.15%	2/25/2022	2	2
Wharf Finance Ltd (K838)	N/A / N/A / N/A	3.75%	10/24/2022	3	3
NWD MTN Ltd (K839)	N/A / N/A / N/A	5.9%	9/18/2023	4	4
Lenovo Group Ltd (K840)	N/A / N/A / N/A	5.875%	4/24/2025	3	3
Agricultural Development Bank of China (K841)	N/A / A+ / N/A	3.4%	11/6/2024	2	2
China Government Bond (K842)	N/A / N/A / N/A	3.8%	7/9/2023	2	2
China Government Bond (K843)	N/A / N/A / N/A	3.03%	6/24/2024	2	2
QNB Finance Ltd (K844)	N/A / A / N/A	3.6%	6/5/2025	2	2
QNB Finance Ltd (K845)	Aa3 / N/A / N/A	3.8%	6/17/2025	2	2
First Abu Dhabi Bank PJSC (K846)	NR / AA- / N/A	3.1%	6/12/2023	2	2
Hong Kong Government Bond Programme (K848)	N/A / N/A / N/A	2%	11/16/2023	1	1
BOC Aviation Ltd (K849)	N/A / A- / A-	2.75%	12/2/2023	2	2
Wharf REIC Finance BVI Ltd (K850)	A2 / N/A / N/A	2.375%	5/7/2025	2	2
Wharf REIC Finance BVI Ltd (K851)	A2 / N/A / N/A	2.875%	5/7/2030	2	2

LG Chem Ltd (K852)	Baa1 / BBB+ / N/A	3.25%	10/15/2024	3	3
LG Chem Ltd (K853)	Baa1 / BBB+ / N/A	3.625%	4/15/2029	3	3
Volkswagen Financial Services NV (K854)	A3 / BBB+ / N/A	4.25%	10/9/2025	3	3
Wharf REIC Finance BVI Ltd (K855)	A2 / N/A / N/A	3.7%	7/16/2025	2	2
Fortune Star BVI Ltd (K856)	N/A / BB / N/A	6.85%	7/2/2024	4	4
ZhongAn Online P&C Insurance Co Ltd (K857)	Baa2 / N/A / N/A	3.125%	7/16/2025	3	3
Horse Gallop Finance Ltd (K858)	A2 / N/A / N/A	1.7%	7/28/2025	2	2
QNB Finance Ltd (K859)	Aa3 / A / N/A	4.15%	2/1/2023	2	2
Horse Gallop Finance Ltd (K860)	A2 / N/A / N/A	3.25%	5/30/2022	2	2
Inventive Global Investments Ltd (K861)	A2 / N/A / N/A	1.5%	7/15/2023	2	2
Li & Fung Ltd (K862)	Baa3 / BBB- / N/A	4.5%	8/18/2025	3	3
Yuexiu REIT MTN Co Ltd (K863)	Baa3 / N/A / N/A	3.6%	5/28/2024	3	3
Wharf REIC Finance BVI Ltd (K864)	A2 / N/A / N/A	2.8%	1/23/2030	2	2
Agile Group Holdings Ltd (K865)	N/A / BB- / N/A	5.75%	1/2/2025	4	4
RKPF Overseas 2019 A Ltd (K866)	Ba3 / BB- / N/A	5.9%	3/5/2025	4	4
RKPF Overseas 2019 A Ltd (K867)	Ba3 / BB- / N/A	6%	9/4/2025	4	4
Shimao Group Holdings Ltd (K868)	N/A / N/A / BBB-	5.2%	1/30/2025	4	4
Country Garden Holdings Co Ltd (K869)	N/A / N/A / BBB-	6.5%	4/8/2024	4	4
Country Garden Holdings Co Ltd (K870)	N/A / N/A / BBB-	7.25%	4/8/2026	4	4
Hong Kong Government Bond Programme (K871)	N/A / N/A / N/A	3.5%	12/22/2023	1	1
NWD MTN Ltd (K872)	N/A / N/A / N/A	5.25%	3/19/2024	4	4
Henderson Land MTN Ltd (K873)	N/A / N/A / N/A	2.375%	5/27/2025	3	-
Wharf REIC Finance BVI Ltd (K875)	A2 / N/A / N/A	3.2%	9/15/2023	2	2
HLP Finance Ltd (K876)	N/A / N/A / N/A	4.38%	6/20/2024	3	-
Fortune Star BVI Ltd (K877)	N/A / BB / N/A	5.95%	10/19/2025	4	-
Vigorous Champion International Ltd (K878)	N/A / N/A / N/A	1.1%	12/7/2021	3	3
Greentown China Holdings Ltd (K879)	Ba3 / N/A / N/A	4.7%	4/29/2025	4	-
Greentown China Holdings Ltd (K880)	Ba3 / N/A / N/A	5.65%	7/13/2025	4	-
Agile Group Holdings Ltd (K881)	Ba3 / N/A / N/A	6.05%	10/13/2025	4	-
CIFI Holdings Group Co Ltd (K883)	N/A / BB- / BB	6.55%	3/28/2024	4	-
CIFI Holdings Group Co Ltd (K884)	N/A / BB- / BB	5.95%	10/20/2025	4	-
Guotai Junan International Holdings Ltd (K885)	N/A / BBB+ / N/A	4.25%	1/14/2022	3	3
Guotai Junan International Holdings Ltd (K886)	N/A / N/A / N/A	1.6%	11/15/2021	3	-
Shui On Development Holding Ltd (K887)	N/A / N/A / N/A	6.15%	8/24/2024	5	-
Shui On Development Holding Ltd (K888)	N/A / N/A / N/A	5.75%	11/12/2023	5	-
Wharf Finance BVI Ltd (K889)	N/A / N/A / N/A	3.25%	1/13/2024	3	-
China Government Bond (K890)	N/A / N/A / A+	3.3%	7/4/2023	2	-
NWD MTN Ltd (K891)	N/A / N/A / N/A	2.65%	5/3/2022	4	-
Henderson Land MTN Ltd (K892)	N/A / N/A / N/A	3%	1/15/2023	3	-
Wharf REIC Finance BVI Ltd (K893)	A2 / N/A / N/A	2.95%	1/19/2024	2	-

NWD MTN Ltd (K894)	N/A / N/A / N/A	4%	3/27/2029	4	-
NWD MTN Ltd (K895)	N/A / N/A / N/A	4.5%	5/19/2030	4	-
Vigorous Champion International Ltd (K896)	Baa2 / N/A / N/A	4.375%	9/10/2023	3	-
Wharf REIC Finance BVI Ltd (K897)	A2 / N/A / N/A	3.5%	1/17/2028	2	-
Guotai Junan International Holdings Ltd (K898)	N/A / N/A / N/A	1.3%	1/10/2022	3	-
CIFI Holdings Group Co Ltd (K899)	Ba3 / BB- / N/A	4.375%	4/12/2027	4	-
RKPF Overseas 2020 A Ltd (K900)	Ba3 / BB- / N/A	5.2%	1/12/2026	4	-
Logan Group Co Ltd (K901)	N/A / BB- / N/A	6.9%	6/9/2024	4	-
Logan Group Co Ltd (K902)	N/A / BB- / N/A	4.85%	12/14/2026	4	-
Logan Group Co Ltd (K903)	N/A / N/A / BB	4.5%	1/13/2028	4	-
Henderson Land MTN Ltd (K904)	N/A / N/A / N/A	1.05%	1/25/2023	3	-
Wharf Finance BVI Ltd (K905)	N/A / N/A / N/A	3.3%	9/7/2023	3	-
Guotai Junan International Holdings Ltd (K906)	N/A / N/A / N/A	1.25%	1/10/2022	3	-
FEC Finance Ltd (K907)	N/A / N/A / N/A	5.1%	1/21/2024	5	-
Fortune Star BVI Ltd (K908)	N/A / BB / N/A	5.05%	1/27/2027	4	-
Westwood Group Holdings Ltd (K909)	Baa3 / N/A / BBB-	2.8%	1/20/2026	3	-
Westwood Group Holdings Ltd (K910)	Baa3 / N/A / BBB-	3.8%	1/20/2031	3	-
ADCB Finance Cayman Ltd (K911)	N/A / A / A+	4.5%	10/25/2027	2	-
NWD MTN Ltd (K912)	N/A / N/A / N/A	3%	3/10/2028	4	-
Henderson Land MTN Ltd (K913)	N/A / N/A / N/A	3%	1/14/2023	3	-
Wharf Finance BVI Ltd (K914)	N/A / N/A / N/A	3.25%	1/14/2024	3	-
Hong Kong Mortgage Corp Ltd/The (K915)	N/A / AA+ / N/A	2.8%	3/29/2022	1	-
HLP Finance Ltd (K916)	N/A / N/A / N/A	2.35%	7/6/2025	3	-
Wharf Finance Ltd (K922)	N/A / N/A / N/A	4.426%	1/29/2024	3	-
Henderson Land MTN Ltd (K923)	N/A / N/A / N/A	1%	3/10/2023	3	-
Henderson Land MTN Ltd (K924)	N/A / N/A / N/A	2.35%	5/6/2027	3	-
Wharf Finance BVI Ltd (K925)	N/A / N/A / N/A	3.4%	3/30/2024	3	-
TSMC Global Ltd (K926)	Aa3 / AA- / N/A	0.75%	9/28/2025	1	-
TSMC Global Ltd (K927)	Aa3 / AA- / N/A	1%	9/28/2027	1	-
TSMC Global Ltd (K928)	Aa3 / AA- / N/A	1.375%	9/28/2030	1	-
Tencent Holdings Ltd (K929)	A1 / A+ / A+	2.88%	4/22/2031	2	-
Hong Kong Government Bond Programme (K930)	N/A / N/A / N/A	2%	6/23/2024	1	-
Henderson Land MTN Ltd (K931)	N/A / N/A / N/A	1%	3/24/2023	3	-
Wharf REIC Finance BVI Ltd (K932)	A2 / N/A / N/A	1.7%	3/12/2026	2	-
NWD MTN Ltd (K933)	N/A / N/A / N/A	3.8%	5/21/2029	4	-
NWD MTN Ltd (K934)	N/A / N/A / N/A	3.95%	3/22/2031	4	-
Tencent Holdings Ltd (K936)	A1 / A+ / A+	3.28%	4/11/2024	2	-
Tencent Holdings Ltd (K937)	A1 / A+ / A+	3.975%	4/11/2029	2	-
Tencent Holdings Ltd (K938)	A1 / A+ / A+	2.39%	6/3/2030	2	-
Apple Inc (US0002)	Aa1 / AA+ / N/A	3.45%	5/6/2024	1	1
American Express Co (US0003)	A3 / BBB+ / A	2.65%	12/2/2022	3	3

McDonald's Corp (US0004)	Baa1 / BBB+ / WD	2.625%	1/15/2022	2	3
PepsiCo Inc (US0005)	A1 / A+ / WD	3%	8/25/2021	2	2
Intel Corp (US0006)	A1 / A+ / A+	2.7%	12/15/2022	2	2
Medtronic Inc (US0010)	A3 / A / N/A	3.5%	3/15/2025	2	2
Cisco Systems Inc/Delaware (US0012)	A1 / AA- / N/A	2.6%	2/28/2023	2	2
Cisco Systems Inc/Delaware (US0013)	A1 / AA- / N/A	2.95%	2/28/2026	2	2
Apple Inc (US0014)	Aa1 / AA+ / N/A	2.4%	5/3/2023	1	1
Biogen Inc (US0017)	Baa1 / A- / N/A	3.625%	9/15/2022	3	3
Pfizer Inc (US0020)	A2 / A+ / A	2.75%	6/3/2026	2	2
Hyundai Capital America (US0021)	Baa1 / BBB+ / N/A	3.95%	2/1/2022	3	3
Hyundai Capital America (US0022)	Baa1 / BBB+ / N/A	4.3%	2/1/2024	3	3
CVS Health Corp (US0023)	Baa2 / BBB / N/A	2.625%	8/15/2024	3	3
CVS Health Corp (US0024)	Baa2 / BBB / N/A	3%	8/15/2026	3	3
CVS Health Corp (US0025)	Baa2 / BBB / N/A	3.25%	8/15/2029	3	3
Alibaba Group Holding Ltd (US0026)	A1 / A+ / A+	2.8%	6/6/2023	2	2
Alibaba Group Holding Ltd (US0027)	A1 / A+ / A+	3.4%	12/6/2027	2	2
Hyundai Capital America (US0028)	Baa1 / BBB+ / N/A	5.75%	4/6/2023	3	3
Hyundai Capital America (US0029)	Baa1 / BBB+ / N/A	5.875%	4/7/2025	3	3
Hyundai Capital America (US0030)	Baa1 / BBB+ / N/A	6.375%	4/8/2030	3	3
Metropolitan Life Global Funding I (US0031)	Aa3 / AA- / AA-	3.394%	4/9/2030	2	-
Hyundai Capital America (US0032)	Baa1 / BBB+ / N/A	1.3%	1/8/2026	3	-
Hyundai Capital America (US0033)	Baa1 / BBB+ / N/A	1.8%	10/15/2025	3	-

附註 Remark:

1. 債券/票據的信貸評級 Moody's / S&P / Fitch 穆迪/標準普爾/惠譽國際 Credit ratings of the Bond/Note:

2. 產品風險程度 Product Risk Level:

1 = 低風險 Low risk

此風險程度之產品可能適合一些希望盡量避免風險、資本保值對他/她來說是最重要的投資者。

Products with this risk level may be suitable for an investor who is very risk averse, and for whom preserving capital is the most important.

2 = 低至中度風險 Low to Medium risk

此風險程度之產品可能適合一些希望有真正資本增值機會、並明白及願意承受少量程度的資本風險的投資者。

Products with this risk level may be suitable for an investor who wants to gain the potential for some real capital growth while he/she understands that he/she needs to take a small amount of risk with the capital invested.

3 = 中度風險 Medium risk

此風險程度之產品可能適合一些願意為他/她的資本增值而承擔中等程度的風險的投資者。

Products with this risk level may be suitable for an investor who is willing to tolerate a moderate amount of risk to see his/her money grow.

4 = 中至高風險 Medium to High risk

此風險程度之產品可能適合一些希望他/她的投資有較大的真正資本增值機會、並明白及願意承受較高程度的資本風險的投資者。

Products with this risk level may be suitable for an investor who wants to gain the potential of greater real capital growth. He/she understands that to have this potential he/she needs to take a high amount of risk with the capital invested.

5 = 高風險 High risk

此風險程度之產品可能適合一些希望他/她的投資有大幅度的真正資本增值、並明白及願意承擔高程度的資本風險的投資者。

Products with this risk level may be suitable for an investor who wants to have very significant real capital growth. He/she understands that to have this he/she needs to take a very high amount of risk with the capital invested.