

CRS Individual Self-Certification Form

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Please complete parts 1-3 in BLOCK CAPITALS.

Important Notes		
<ul style="list-style-type: none"> This is a self-certification form provided by an account holder to Hang Seng Bank Limited for the purpose of automatic exchange of financial account information. The data collected may be transmitted by Hang Seng Bank Limited to the Inland Revenue Department for transfer to the tax authority of another jurisdiction. An account holder should report all changes in his/her tax residency status to Hang Seng Bank Limited. All parts of the form must be completed (unless not applicable or otherwise specified). If space provided is insufficient, continue on additional sheet(s). Information in fields/parts marked with an asterisk (*) are required to be reported by Hang Seng Bank Limited to the Inland Revenue Department. 		
Part 1 – Identification of Individual Account Holder		
A. Name of Account Holder		
<input type="checkbox"/> Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Ms <input type="checkbox"/> Miss <input type="checkbox"/> Other		
Family Name or Surname(s)*	First or Given Name*	Middle Name(s)
ID Document Type <input type="checkbox"/> HKID <input type="checkbox"/> Passport <input type="checkbox"/> Other		
ID Document No.		
B. Current Residence Address		
Line 1 (e.g. Suite, Floor, Building, Street, District)		
Line 2 (e.g. City)*		
Line 3 (e.g. Province/State)		
Country*/Territory*		
Postal Code/ZIP Code		
C. Mailing Address (Please only complete if different to the address shown in Section B)		
Line 1 (e.g. Suite, Floor, Building, Street, District)		
Line 2 (e.g. City)		
Line 3 (e.g. Province/State)		
Country/Territory		
Postal Code/ZIP Code		
D. Date of Birth* (DD/MM/YYYY)		
For Bank Use		
<input type="checkbox"/> For Part 1, refer to AO Form of A/C No.: _____ dated(DD/MM/YYYY): _____		
<input type="checkbox"/> For Part 1, refer to Insurance Application Form of No.: _____ dated(DD/MM/YYYY): _____		

Please read the instructions on Page 1 of the form to ensure you are completing the correct form.

A. Part 1 Identification of Individual Account Holder

1. Name of Account Holder

Insert your name on your identity document, such as the name on your HKID or passport which is provided to the Bank.

2. Hong Kong Identity Card, Passport

Number, Travel Document Number or Other

Insert your HKID number or if you do not have a HKID, please provide your Passport number, Travel Document number, or other document number that serves the same purpose.

3. Current Residence Address

Breakdown your full address in different fields as specified in the form.

DO NOT USE:

- PO Box or C/O address
- Address of a third party
- Address at a Financial Institution

4. Mailing Address

Insert mailing address only if different from the Current Residence Address.

5. Date of Birth

Write down your date of birth using dd/mm/yyyy format.

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Part 2 – Jurisdiction of Residence and Taxpayer Identification Number or its Functional Equivalent (“TIN”)			
<p>Please complete the following table indicating (i) each jurisdiction of residence (including Hong Kong) where the Account Holder is a resident for tax purposes and (ii) the Account Holder’s TIN for each jurisdiction indicated. Indicate all (not restricted to three) jurisdictions of residence.</p> <p>If the Account Holder is tax resident in more than three jurisdictions please use a separate sheet.</p> <p>If the Account Holder is a tax resident of Hong Kong, the TIN is the Hong Kong Identity Card Number.</p> <p>If a TIN is unavailable please provide the appropriate reason A, B or C where indicated below:</p> <ol style="list-style-type: none"> Reason A - The jurisdiction where the Account Holder is a resident for tax purposes does not issue TINs to its residents. Reason B - The Account Holder is unable to obtain a TIN. (Please explain why you are unable to obtain a TIN in the below table if you have selected this reason) Reason C - TIN is not required. Select this reason only if the authorities of the jurisdiction of residence do not require the TIN to be disclosed. 			
	Jurisdiction of Residence	TIN	If no TIN available enter Reason A, B or C
1			
2			
3			
Please explain in the following boxes why you are unable to obtain a TIN if you selected Reason B above.			
1			
2			
3			

B. Part 2 Jurisdiction of Residence and Taxpayer Identification Number or its Functional Equivalent (“TIN”)

This number depends on which jurisdiction you are tax resident. If you are the tax resident of Hong Kong, the TIN is the Hong Kong Identity Card Number (HKID).

The OECD has provided some information on the acceptable numbers and format. You can refer to the following website <<http://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/tax-identification-numbers/#d.en.347759>>.

If you cannot provide a TIN, please indicate the reason A, B or C on the form.

If you select reason B, please provide a written reason for not being able to obtain a TIN. This must be written in the table on the form.

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Part 3 – Declarations and Signature
<p>I understand that the information supplied by me is covered by the full provisions of the terms and conditions governing the Account Holder's relationship with Hang Seng Bank Limited ("Hang Seng Bank") setting out how Hang Seng Bank may use and share the information supplied by me.</p> <p>I acknowledge and agree that (a) the information contained in this form is collected and may be kept by Hang Seng Bank for the purpose of automatic exchange of financial account information, and (b) such information and information regarding the Account Holder and any Reportable Account(s) may be provided by Hang Seng Bank to the Inland Revenue Department of the Government of the Hong Kong Special Administrative Region and exchanged with the tax authorities of another jurisdiction or jurisdictions in which the Account Holder may be resident for tax purposes pursuant to the legal provisions for exchange of financial account information provided under the Inland Revenue Ordinance (Cap.112).</p> <p>I also agree that the information contained in this form may be shared to and used by any member of the Hang Seng Bank Group (including any affiliates, subsidiaries and associated entities of Hang Seng Bank and their branches and offices) for the purposes of automatic exchange of financial account information provided under the Inland Revenue Ordinance as set out above.</p> <p>I certify that I am the Account Holder (or am authorised to sign for the Account Holder) of all the account(s) to which this form relates.</p> <p>I certify that where I have provided information regarding any other person (such as a Controlling Person or other Reportable Person to which this form relates) that I will, within 30 days of signing this form, notify those persons that I have provided such information to Hang Seng Bank and that such information may be provided to the Inland Revenue Department of the Government of the Hong Kong Special Administrative Region and exchanged with the tax authorities of another jurisdiction or jurisdictions in which the person may be resident for tax purposes pursuant to the legal provisions for exchange of financial account information provided under the Hong Kong Inland Revenue Ordinance (Cap.112).</p>

C. Part 3 Declarations and Signature

You must be the individual identified in Part 1 (or authorised to sign on behalf of the individual identified in Part 1).

1. Please print your name on the line above the date.
2. Please date the form using the dd/mm/yyyy format.
3. Please sign the form.
4. Please indicate the capacity in which you are signing the form if you are not the individual identified in Part 1.

If you have any questions regarding your tax position, please consult your professional tax and/or legal advisor as we are unable to provide any tax advice.

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<p>I declare that the information given and all statements made in this form and declarations are, to the best of my knowledge and belief, true, correct and complete.</p> <p>I undertake to advise Hang Seng Bank within 30 days of any change in circumstances which affects the tax residency status of the individual identified in Part 1 of this form or causes the information contained herein to become incorrect, and to provide Hang Seng Bank with a suitably updated self-certification and Declaration within 90 days of such change in circumstances.</p>	
Print Name	Signature
Date (DD/MM/YYYY)	X Please use signature(s)/chop(s) field with the Bank
<p>Note: If you are not the Account Holder please indicate the capacity in which you are signing the form. If signing under a power of attorney please also attach a certified copy of the power of attorney.</p>	
Capacity:	
<p>WARNING: It is an offence under section 80(2E) of the Inland Revenue Ordinance if any person, in making a self-certification, makes a statement that is misleading, false or incorrect in a material particular AND knows, or is reckless as to whether, the statement is misleading, false or incorrect in a material particular. A person who commits the offence is liable on conviction to a fine at level 3 (i.e. HK\$10,000).</p>	

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*In the event of any discrepancy or inconsistency between the English version and the Chinese version of this form, the English version shall prevail.