

## W-8BEN — 美国预扣税及申报实益拥有人之外籍身分证明(个人)

如为联名户口,每位户口持有人必须分别填写一份表格。

W-8BEN 表格必须准确填写,不得涂改。

如果填写有误,请用新表格重新填写。

请勿使用涂改液或其他涂改工具。

## 所有 W 表格均必须以英文填写。

(Rev. October 2021) Department of the Treasury Internal Revenue Service	Certificate of Foreign Status of Beneficial Owner for United States Tax Withholding and Reporting (Individuals)  ► For use by individuals. Entities must use Form W-BEN-E.  ► Go to www.irs.gov/Form/WBEEN for instructions and the latest information.  ► Give this form to the withholding agent or payer. Do not send to the IRS.				OMB No. 1545-1621
Do NOT use this form					Instead, use Form:
You are NOT an indiv	ridual				W-8BEN-E
You are a U.S. citizer	or other U.S. person, including a resident alien	individual			W-9
	owner claiming that income is effectively connec		rade or business	within the Unite	ed States
(other than personal					W-8ECI
You are a beneficial of	owner who is receiving compensation for person	nal services performed in	the United States		8233 or W-4
You are a person act	ing as an intermediary				W-8IMY
Note: If you are reside provided to your jurisd	ent in a FATCA partner jurisdiction (that is, a Meliction of residence.	odel 1 IGA jurisdiction w	ith reciprocity), o	ertain tax acco	unt information may be
Part I Identif	ication of Beneficial Owner (see instr	ructions)			
<ol> <li>Name of individual</li> </ol>	dual who is the beneficial owner		2 Country of c	itizenship	
3 Permanent resi	idence address (street, apt. or suite no., or rural	route). Do not use a P.C	box or in-care	of address.	
001					
City or town, st	tate or province. Include postal code where app	ropriate.		Country	
4 Mailing address	s (if different from above)				
+ Mailing address	o (ii dilielelit ilolli above)				
City or town, sf	tate or province. Include postal code where app	ropriate.		Country	
				,	
5 U.S. taxpayer i	dentification number (SSN or ITIN), if required (s	see instructions)			
6a Foreign tax ide	ntifying number (see instructions)	6b Check if FTIN not	egally required .		🔲
7 Reference num	ber(s) (see instructions)	8 Date of birth (MM-	-DD-YYYY) (see in	nstructions)	
				nstructions)	
Part    Claim	of Tax Treaty Benefits (for chapter 3				
Part II Claim o	of Tax Treaty Benefits (for chapter 3 e beneficial owner is a resident of				aning of the income tax
Part II Claim of I certify that the treaty between	of Tax Treaty Benefits (for chapter 3 e beneficial owner is a resident of the United States and that country.	purposes only) (see	instructions)	within the mea	-
Part II Claim of I certify that the treaty between	of Tax Treaty Benefits (for chapter 3 e beneficial owner is a resident of the United States and that country, and conditions (if applicable—see instructions)	purposes only) (see	instructions)	within the mea	and paragraph
Part II Claim of I certify that the treaty between	of Tax Treaty Benefits (for chapter 3 e beneficial owner is a resident of the United States and that country.	purposes only) (see	instructions)	within the mea	-
9 I certify that the treaty between 10 Special rates	of Tax Treaty Benefits (for chapter 3 e beneficial owner is a resident of the United States and that country, and conditions (if applicable—see instructions)	purposes only) (see	instructions) claiming the prov	within the medisions of Article	and paragraph y type of income):
9 I certify that the treaty between 10 Special rates	of Tax Treaty Benefits (for chapter 3 e beneficial owner is a resident of the United States and that country. and conditions (if applicable—see instructions) of the treaty identified on line (	purposes only) (see	instructions) claiming the prov	within the medisions of Article	and paragraph y type of income):
9 I certify that the treaty between 10 Special rates in Explain the add	of Tax Treaty Benefits (for chapter 3 e beneficial owner is a resident of the United States and that country, and conditions (if applicable—see instructions) of the treaty identified on line (identified on line to the treaty identified on line to the Article and paragraph to the Article and the	purposes only) (see	instructions) claiming the prov	within the medisions of Article	and paragraph y type of income):
Part II Claim 9 I certify that the treaty between 10 Special rates  Explain the add  Part III Certifie	of Tax Treaty Benefits (for chapter 3 e beneficial owner is a resident of the United States and that country, and conditions (if applicable—see instructions) of the treaty identified on line (identified on line to the treaty identified on line to the Article and paragraph to the Article and the	purposes only) (see  : The beneficial owner is 9 above to claim a  the beneficial owner meet	instructions) claiming the prov % rate of withhol ts to be eligible for	within the medicisions of Article Iding on (specifier the rate of with	and paragraph y type of income):
Part II Claim 9 I certify that the treaty between 10 Special rates of Explain the adx  Part III Certific Index penalties of perpy, I dec	of Tax Treaty Benefits (for chapter 3 e beneficial owner is a resident of the United States and that country.  and conditions (if applicable—see instructions) of the treaty identified on line (in the treaty identified on line (in the interest in the Article and paragraph the interest in the interest i	purposes only) (see  The beneficial owner is 9 above to claim a  the beneficial owner mee  best of my knowledge and belef 1	claiming the prov % rate of withholes to be eligible for	within the mea	and paragraph y type of income): . hholding: . y under penaties of perjury that:
Part Claim 9 I certify that the treaty between 10 Special rates a Explain the add  Certific inder penaltes of peruy, I dec. I am the individual that I realates or an using this	of Tax Treaty Benefits (for chapter 3 e beneficial owner is a resident of the United States and that country, and conditions (if applicable – see instructions) of the treaty identified on line ( ditional conditions in the Article and paragraph that cation task that I have esamired the Information on this form and to the is the beneficial owner (or am authorized to sign for the form to document ingest for chapter 4 purposes;	purposes only) (see  The beneficial owner is 9 above to claim a  the beneficial owner mee  best of my knowledge and belef 1	claiming the prov % rate of withholes to be eligible for	within the mea	and paragraph y type of income): . hholding: . y under penaties of perjury that:
Part II Claim of 9 I certify that the treaty between 10 Special rates of Explain the add Explain the add I am the individual that I relates or am using this The person named on II.	of Tax Treaty Benefits (for chapter 3 e beneficial owner is a resident of the United States and that country.  and conditions (if applicable—see instructions) of the treaty identified on line (in the treaty identified on line (in the interest in the Article and paragraph the interest in the interest i	purposes only) (see  The beneficial owner is 9 above to claim a  the beneficial owner mee  best of my knowledge and belef 1	claiming the prov % rate of withholes to be eligible for	within the mea	and paragraph y type of income): . hholding: . y under penaties of perjury that:
Part III Claim .  9 I certify that the treaty between 10 Special rates .  Explain the add	of Tax Treaty Benefits (for chapter 3 e beneficial owner is a resident of the United States and that country, and conditions (if applicable – see instructions) of the treaty identified on line ( ditional conditions in the Article and paragraph that cation task that I have esamired the Information on this form and to the is the beneficial owner (or am authorized to sign for the form to document ingest for chapter 4 purposes;	purposes only) (see  The beneficial owner is  above to claim a  the beneficial owner mee  best of my knowledge and belef is  Individual that is the benefic	claiming the prov % rate of withholes to be eligible for	within the mea	and paragraph y type of income): . hholding: . y under penaties of perjury that:
Part II Claim 9 I certify that the treaty between 10 Special rates in Explain the add Explain the add I am the individual that restates or am included. If the person named on it This form relates to:  (a) noome not effectives (a) noome not effectives (a) noome not effectives	of Tax Treaty Benefits (for chapter 3 e beneficial owner is a resident of the United States and that country.  and conditions (if applicable—see instructions) of the treaty identified on line (in the state) of the treaty identified on line (in the state) of the treaty identified on line (in the state) of the treaty identified on line (in the state) of the treaty identified on line (in the state) of the treaty identified on line (in the state) of the state (in the state) of	purposes only) (see  The beneficial owner is above to claim a the beneficial owner mee  best of my knowledge and belief individual that is the benefic	instructions)  claiming the prov  for rate of withhol  to be eligible for  the true, correct, and co  tal owner) of all the	within the medicions of Article ding on (specific reference) or the rate of with mplete. I further certil income or process.	and paragraph y type of income):  thholding:  yunder penaties of perjuny that did to which this form
9 Leariiiy that the treaty between the Special rates.  Explain the add  Explain the add  Explain the add to pray 1, idea to pr	of Tax Treaty Benefits (for chapter 3 e beneficial owner is a resident of the United States and that country, and conditions (if applicable—see instructions) of the treaty identified on line t difficial conditions in the Article and paragraph that cation the treaty identified on this form and to the she beneficial owner (or an authorized to sign for the form to obcument myest for chapter 4 purposes; ne 1 of this form is not a U.S. person; y connected with the conduct of a trade or business in	purposes only) (see  The beneficial owner is  above to claim a  the beneficial owner meet  best of my knowledge and belef is individual that is the benefit the United States;  United States but is not suit	instructions)  claiming the prov  for rate of withhol  to be eligible for  the true, correct, and co  tal owner) of all the	within the medicions of Article ding on (specific reference) or the rate of with mplete. I further certil income or process.	and paragraph y type of income): hholding:  y under penaties of perjuny that: dis to which this form
Part III Claim 4 9 I certify that the treaty between 10 Special rates a Explain the add Explain the add III Certification presents or person of the person named on II This form resides or am using its more not effectively (a) income not effectively (b) income effectively or (c) the partner's amount of the person samed on III of the partner's amount of the person named on III of the partner's amount of the person named on III of the partner's amount of the person named on III of the partner's amount of the person named on III of the partner's amount of the person named on III of the partner's amount of the person named on III of the partner's amount of the person named on III of the partner's amount of the person named on III of the partner's amount of the person named on III of the person named on II of the	of Tax Treaty Benefits (for chapter 3 is beneficial owner is a resident of the United States and that country, and conditions (if applicable—see instructions) of the treaty identified on line to difficult of the treaty identified on line to difficult on of the treaty identified on line to difficult on of the treaty identified on line to difficult on the treaty identified on line to difficult on the treaty of the treaty	purposes only) (see  The beneficial owner is above to claim a the beneficial owner mee  best of my knowledge and belief is individual that is the benefit the United States; United States but is not suit, or eject to withholding under se	instructions)  claiming the prov % rate of withhol is to be eligible for is true, correct, and co tall owner) of all the elect to tax under an otton 1446(f);	within the meal issions of Article issions of Article issions of Article in the rate of with the rate of with income or process applicable income applicable income applicable income.	and paragraph ty type of income):  hholding:  yunder penatities of perjuny that: did to which this form
Part III Claim 4 9 Learlily that the treaty between 10 Special rates.  Explain the add Explain the add to a special rates in the add to a special rates in the part of the par	of Tax Treaty Benefits (for chapter 3 e beneficial owner is a resident of the United States and that country, and conditions (if applicable—see instructions) of the treaty identified on line (identified on	purposes only) (see  : The beneficial owner is  i) above to claim a  the beneficial owner mee  best of my knowledge and beleft is Individual that is the benefit the United States; is United States but is not suit or or opect to withholding under se the form if any) within the meant	instructions)  claiming the prov % rate of withhol is to be eligible fo is true, correct, and co laid owner() of all the elect to tax under an action 1446(f); ig of the income tax tre ig of the in	within the meal issions of Article issions of Article issions of Article in the rate of with the rate of with income or process applicable income applicable income applicable income.	and paragraph ty type of income):  hholding:  yunder penatities of perjuny that: did to which this form
Part III Claim 4 9 Learlily that the treaty between 10 Special rates.  Explain the add Explain the add to a special rates in the add to a special rates in the part of the par	of Tax Treaty Benefits (for chapter 3 is beneficial owner is a resident of the United States and that country, and conditions (if applicable—see instructions) of the treaty identified on line to difficult of the treaty identified on line to difficult on of the treaty identified on line to difficult on of the treaty identified on line to difficult on the treaty identified on line to difficult on the treaty of the treaty	purposes only) (see  : The beneficial owner is  i) above to claim a  the beneficial owner mee  best of my knowledge and beleft is Individual that is the benefit the United States; is United States but is not suit or or opect to withholding under se the form if any) within the meant	instructions)  claiming the prov % rate of withhol is to be eligible fo is true, correct, and co laid owner() of all the elect to tax under an action 1446(f); ig of the income tax tre ig of the in	within the meal issions of Article issions of Article issions of Article in the rate of with the rate of with income or process applicable income applicable income applicable income.	and paragraph ty type of income):  hholding:  yunder penatities of perjuny that: did to which this form  the tax treaty;
Port II Claim  9 I certify that the treaty between 10 Special rates.  Explain the add  Expl	of Tax Treaty Benefits (for chapter 3 e beneficial owner is a resident of the United States and that country, and conditions (if applicable—see instructions) of the treaty identified on line (identified on	purposes only) (see  : The beneficial owner is a above to claim a  the beneficial owner meet  best of my knowledge and belief is Individual that is the benefit is individual that is the benefit the United States; burited States but is not sut cor goet to withholding under se the form (if any) within the meanli t trevign person as defined it	instructions)  claiming the prov % rate of withhol is to be eligible for is true, correct, and co alal owner) of all the oject to tax under an cition 1446(f); ig of the income tax tre in the instructions.	within the measure issions of Article diding on (specific diding o	and paragraph y type of income):  hholding:  y under penaties of perjuly that did to which this form  the tax treatly;  and States and that country, and ny withholding agent that can
Part III Claim 9 I certify that the treaty between 10 Special rates i Explain the add Explain the add in an the individual that retraited or an uniformative of priys, I de i am the individual that retraited or an uniformative of priys, I de i am the individual that retraited or an uniformative of priys, I de i am the individual that in treated to it. The person named on it of the	of Tax Treaty Benefits (for chapter 3 e beneficial owner is a resident of the United States and that country, and conditions (if applicable—see instructions) of the treaty identified on line to the treaty identified on line to the treaty identified on line to difficient conditions in the Article and paragraph to cation.  Cation  Leading to the treaty identified on line to the treaty identified on line to the treaty identified on the treaty on the treaty in the treaty of the tre	purposes only) (see  The beneficial owner is above to claim a  the beneficial owner meet  best of my knowledge and belief is Individual that is the benefit  the United States; United States but is not sut cor goet to withholding under se the form (if any) within the meanit it trerigin person as defined it, recept, or cuskoy of the incor It will submit a new form within	instructions)  claiming the prov % rate of withhol is to be eligible for is true, correct, and co alal owner) of all the opject to tax under an cition 1446(f); ig of the income tax tre in the instructions. In ord within I am the bin a Odays if any certifi	within the measure issions of Article diding on (specific diding o	and paragraph y type of income):  hholding:  y under penaties of perjuly that did to which this form  the tax treatly;  and States and that country, and ny withholding agent that can
Port II Claim  9 I certify that the treaty between 10 Special rates.  Explain the add  Expl	of Tax Treaty Benefits (for chapter 3 e beneficial owner is a resident of the United States and that country, and conditions (if applicable—see instructions) of the treaty identified on line to the treaty identified on line to difficient conditions in the Article and paragraph to cation.  Cation  Lists that have examined the information on this form and to the is the beneficial owner (or am authorized to sign for the form to document implies for chapter 4 purposes, me to other than the conduct of a trade or business in onnected with the conduct of a trade or business in the a partnership's effectively connected taxable income treatized from the transfer of a partnership interest such of this form is a resident of the treatized conduct of a trade or when the such or business in the national treatized for the transfer of a partnership interest such or this form is a resempend or the conduct of a superior than the conduct of any withholding owner is an evempend or the property of the level of the level owner is an evempend or the property of the level of the level owner is an evempend or the property of the level of the level owner is an evempend or the property of the level of the level owner is an evempend or the property of the level of the level owner is an evempend or the property of the level of the level owner is an evempend or the property of the level of the level of the level owner is an evempend or the property of the level of the level owner is an evempend or the level of the level of the level of the level owner is an evempend or the level of	purposes only) (see  The beneficial owner is above to claim a  the beneficial owner meet  best of my knowledge and belief is Individual that is the benefit  the United States; United States but is not sut cor goet to withholding under se the form (if any) within the meanit it trerigin person as defined it, recept, or cuskoy of the incor It will submit a new form within	instructions)  claiming the prov % rate of withhol is to be eligible for is true, correct, and co alal owner) of all the opject to tax under an cition 1446(f); ig of the income tax tre in the instructions. In ord within I am the bin a Odays if any certifi	within the measure issions of Article diding on (specific diding o	and paragraph y type of income):  hholding:  y under penaties of perjuly that did to which this form  the tax treatly;  and States and that country, and ny withholding agent that can
Part III Claim 9 Loerlify that the treaty between 10 Special rates i Explain the add Explain the add in an the individual that retales or an universales for The person named on it The form retales for an universales for (a) income not effectively (b) income effectively or (c) the partner's share or (d) the partner's	of Tax Treaty Benefits (for chapter 3 e beneficial owner is a resident of the United States and that country, and conditions (if applicable – see instructions) of the treaty identified on line (in distriction) of the treaty identified on the transfer of a partnership interest such or business in or the toma in a ready is the original of the treaty country is that on the in or or business in the realized from the transfer of a partnership interest such or this time is a related or the treaty country is that on the in or or business in the treaty or the time of the treaty or the transfer of a partnership interest such or this time is a related in the transfer of which is an exemptor to the transfer of a partnership interest such or business in the realized from the transfer of a partnership interest such or business in the conduct of the transfer of th	purposes only) (see  The beneficial owner is 9 above to claim a the beneficial owner mee  best of my knowledge and belef is Individual that is the benefic to furthed States: 0 United States but is not suit or or opect to withholding under se the form if may within the meant at foreign person as defined i , recept, or custody of the incom in the form if may not in the some in in it will suffer in ene form within in identified on line 1 of this for	instructions)  claiming the prov 96 rate of withhol is to be eligible for its true, correct, and co alai owner) of all the oject to tax under an cition 1446(f); ig of the income tax the in the instructions. ne of witch I am the b and odays if any certi	within the meetisions of Article disions of Article diding on (specify tr the rate of wit  mpleta. I further cert innorme or procee applicable incom applicable	and paragraph ty type of income): hholding: y under penaties of perjuny that did to which this form the tax treaty; ed States and that country, and rry withholding agent that can the torm becomes incorrect.
Part III Claim 9 I certify that the treaty between 10 Special rates i Explain the add Explain the add I am the individual that restates or am individual that Treatase or am individual that The person named on it This form relates for (a) income not effectively (b) income effectively (c) the partner's amount The person named on its or For troker transactions unthermore, it authorize the fishure or make payments	of Tax Treaty Benefits (for chapter 3 e beneficial owner is a resident of the United States and that country, and conditions (if applicable—see instructions) of the treaty identified on line to the treaty identified on line to difficient conditions in the Article and paragraph to cation.  Cation  Lists that have examined the information on this form and to the is the beneficial owner (or am authorized to sign for the form to document implies for chapter 4 purposes, me to other than the conduct of a trade or business in onnected with the conduct of a trade or business in the a partnership's effectively connected taxable income treatized from the transfer of a partnership interest such of this form is a resident of the treatized conduct of a trade or when the such or business in the national treatized for the transfer of a partnership interest such or this form is a resempend or the conduct of a superior than the conduct of any withholding owner is an evempend or the property of the level of the level owner is an evempend or the property of the level of the level owner is an evempend or the property of the level of the level owner is an evempend or the property of the level of the level owner is an evempend or the property of the level of the level owner is an evempend or the property of the level of the level owner is an evempend or the property of the level of the level of the level owner is an evempend or the property of the level of the level owner is an evempend or the level of the level of the level of the level owner is an evempend or the level of	purposes only) (see  The beneficial owner is 9 above to claim a the beneficial owner mee  best of my knowledge and belef is Individual that is the benefic to furthed States: 0 United States but is not suit or or opect to withholding under se the form if may within the meant at foreign person as defined i , recept, or custody of the incom in the form if may not in the some in in it will suffer in ene form within in identified on line 1 of this for	instructions)  claiming the prov 96 rate of withhol is to be eligible for its true, correct, and co alai owner) of all the oject to tax under an cition 1446(f); ig of the income tax the in the instructions. ne of witch I am the b and odays if any certi	within the meetisions of Article disions of Article diding on (specify tr the rate of wit  mpleta. I further cert innorme or procee applicable incom applicable	and paragraph y type of income):  hholding:  y under penaties of penjury that did to which this form  we tax treatly;  ed States and that country, and ny withholding agent that can

由香港上海滙丰银行有限公司翻译 Translated by The Hongkong and Shanghai Banking Corporation Limited

- © HSBC Group 2017. All Rights Reserved.

- A. 请详阅本节和相关指引,以确保使用正确的 W 表格。
- B. 第一部分(受益所有人身分)
  - 第1栏 全名(姓名)
  - 第2栏 国籍
  - 第3栏 在第一行填写完整的街道地址,在第二行填写城市或城镇、州或省,包括邮政编码。

## 请勿使用:

邮政信箱或代收地址

第三方姓名

金融机构的地址

美国地址

- 第4栏 如果您的邮件地址与永久居住地址不同,请填写邮件地址。
- **注意**: 如果填写美国邮件地址,必须书面说明使用美国邮件地址的原因。如果填写的国家/地区与永久居住地所在国家/地区不同,也必须书面说明原因。
- 第 5 栏 填写您的美国纳税人识别号码(TIN)。该号码为您的社会保障号码(SSN)或个人纳税人识别号码(ITIN)。
- 第6栏 6a) 填写您在美国以外的税务识别号码。如果没有外国税务识别号码,请转至 6b)。
  - 6b) 如果您的居留司法管辖区在法律上不要求您取得外国税务识别号码(包括该司法管辖区没有向其居民发出税务编号),请勾选这一栏。
- 第7栏 请勿填写户口号码,否则表格将仅限于所列户口使用,您可能须为您的其他户口另外填写 表格。
- 第8栏 并填写您的出生日期(月月/日日/年年年年)

注意: 有关谁是受益所有人的进一步说明, 请参阅 W-8BEN 指引。

- C. 第二部分(申请税务协定利益)
  - 第9 栏和第 10 仅当您是协定国/地区居民并有权申请税务协定利益,即您收到源自美国的固定 栏 或可确定年度或定期(FDAP)收入(例如股息)时,才需填写本节内容。如果对您 是否有资格申请税务协定利益存有疑问,我们建议您寻求独立税务意见。
- D. 第三部分(证明)
  - 1. 如果您代表**第**1 栏所述的人士签名,请勾选行事代表人这一栏。
  - 2. 请在表格上签名,并在签名下方的横在线以正楷工整书写姓名。
  - 3. 请以月月/日日/年年年的格式填写日期。
  - **注意**:除非授权书特别注明代理人/律师可以签署税务文件或税务表格(并提供或持有相关副本),或者提供国税局 2848 表格,否则本表格不得通过授权书授权签署。
  - \*恒生不能提供任何税务建议。如需有关建议,请咨询独立税务顾问。

Member HSBC Group