



恒生銀行
HANG SENG BANK

美國證券交收指示表格 US Securities Settlement Instruction Form

致：恒生銀行有限公司(「本行」)
To: Hang Seng Bank Limited (the "Bank")

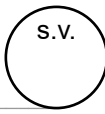
請用正楷填寫，並在適當方格內加上“√”。
Please complete in BLOCK LETTERS and “√” where appropriate.

日期(日/月/年)
Date(DD/MM/YY)

甲部 Part A – 戶口資料 Account Information	
客戶於本行之證券戶口號碼 Customer SEC A/C No. with the Bank	客戶聯絡電話 Customer Telephone Number
客戶於本行之證券戶口持有人姓名 Name(s) of SEC A/C Holder(s) with the Bank	客戶於交收對手之證券戶口號碼 Customer SEC A/C No. with Counterparty
乙部 Part B – 交收對手資料 Counterparty Details	
交收對手名稱 (例如: 銀行/證券行) Name of Counterparty (e.g. Bank/Broker)	交收對手所在國家或地區 Country or Region of Counterparty
交收對手聯絡人 Counterparty Contact Person	交收對手電話號碼及電郵 Counterparty Tel No. & Email
交收對手資料 (銀行識別碼, 證券戶口號碼及名稱, US-DTC 號碼等) Counterparty Details (BIC/SWIFT, SEC A/C & Name, US-DTC No., etc)	
丙部 Part C – 證券交收指示 Securities Settlement Instruction	
<input type="checkbox"/> 請安排收取下列證券並存入本人(等)之證券戶口。本人確認該等證券之實益持有人並無轉變。 Please arrange to RECEIVE following securities for my/our securities account. I confirm no change of beneficiary ownership involved for these securities.	
<input type="checkbox"/> 請由本人(等)之證券戶口提取下列證券並安排交付。本人確認該等證券之實益持有人並無轉變。 Please arrange to DELIVER following securities from my/our securities account. I confirm no change of beneficiary ownership involved for these securities.	
是次收取或提取原因 Reason of Receive or Deliver: _____	

SEC352-R3 (YX) 1-2 11/25 E

丙部 Part C — 證券交收指示(續) Securities Settlement Instruction (Cont.)		
<p>本人(等)現確認本人(等)明白並同意: I/We confirm that I/we understand and agree to:</p> <p>1. 規限本行的證券服務及本人(等)之證券戶口之條款及細則, 及本行的證券服務收費。相關服務收費(如有)將於上述證券戶口之結算戶口自動扣取; 及 the terms and conditions governing the Bank's securities services and my/our securities account and the Bank's securities services charges. Relevant services charges (if any) will be debited from the settlement account of the above mentioned SEC A/C automatically; and</p> <p>2. 本行並不曾就此股票調撥指示提供意見或建議 (只適用於個人客戶, 不包括私人銀行客戶)。 the Bank did not provide advice or recommendation on this transfer instruction of stock(s) (only applicable to Personal Customers, excluding Private Banking Customers).</p>		
證券編號 Securities Code	證券名稱 Name of Securities	股數 Quantity of Securities

<p>註 Note:</p> <p>1. 請同時通知上述的交易對手有關上述證券交收指示, 並留意交收對手可能收取相關手續費(如有)。 Please notify the above Counterparty the above-mentioned securities settlement instruction and note that Counterparty may collect relevant handling fees (if any).</p> <p>2. 本行將與交收對手訂立交收日期。 Settlement date is to be arranged by the Bank and the Counterparty.</p> <p>3. 如果轉換個別證券的價值超過某一限額, 本行需與客戶電話確認指令後才會執行轉換證券。 If the value of individual security to be transferred exceeds a certain threshold, the Bank will only effect the transfer after calling the customer to confirm the instruction.</p>	<p>戶口持有人簽署 Signature(s) of Account Holder(s)</p> <div style="text-align: center;">  </div> <p>X</p> <p>請用留存本行之印鑑簽署 Please use signature(s)/chop(s) filed with the Bank</p>
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銀行專用 For Bank Use (同事如有查詢, 請致電內線22844)			
For Branch		For IOD (16/F, HOT)	
<input type="checkbox"/> 確認客戶已於本行持有有效之W-Series表格 Confirmed client maintains a valid W-Series form with the Bank		Ref No.:	
<input type="checkbox"/> Check S6121 account status is 1. If the account status is unclaimed/dormant, follow up to change the status to normal		S231/S251:	S9231/S9251:
Branch Code		S232/S252:	S9232/S9252:
Handling Staff Name		Credit Control	S6121/C771:
			O.K. Release:
			Limit Hold (HK\$):
			Associated Cash A/C:
Contact Tel.		S235/S255:	S9235/S9255:
		SI Settlement Confirmed:	S6492: