

## W-8BEN-E 美国预扣税及申报实益拥有人身分证明(实体)

W-8BEN-E 表格必须准确填写,不得涂改。

如果填写有误,请用新表格重新填写。

请勿使用涂改液或任何其他涂改工具。

所有W表格均必须以英文填写

Form W-8BEN-E (Rev. October 2021) Department of the Treasury Internal Revenue Service	Certificate of Status of Beneficial Owner for United States Tax Withholding and Reporting (Entities)  For use by writins, bridicals must use Form W-BEIL. Section references are to be internal Prevenue Code.  Go to www.iss.gov/Form/WBSENE for instructions and the latest information.  Given the form to the withholding agent or page. Do not send to the IRS.	OMB No. 1545-1621
Do NOT use this form fo	r.	Instead use Form
· U.S. entity or U.S. citize	n or resident	W-9
A foreign individual .		(Individual) or Form 8233
	ntify claiming that income is effectively connected with the conduct of trade or business within the U enefits).	
<ul> <li>A foreign government, in government of a U.S. po</li> </ul>	foreign simple trust, or a foreign grantor trust (unless claiming treaty benefits) (see instructions for et international organization, foreign central bank of issue, foreign tax-exempt organization, foreign priva- ssession claiming that income is effectively connected U.S. income or that is claiming the applicabil (b) (unless claiming treaty benefits) (see instructions for other exceptions)	ate foundation, or lity of section(s) 115(2),
- A	intermediary (including a qualified intermediary acting as a qualified derivatives dealer)	W.giMN

A. 请详阅本节和相关指引,确保使用正确的w 表格。

## W-8BEN-E 美国预扣税及申报实益拥有人身分证明(实体)

1	Name of organization that is the benefit	icial owner			2 Country of in	corporation or organization
3	Name of disregarded entity receiving to	ha naumant (if annliach	lo occinete	untings)		
3	rvariie or disregarded entity receiving to	пе рауппент (п аррпсав	ie, see ilistii	uctions)		
4	Chapter 3 Status (entity type) (Must ch		Corpo			artnership
		exempt organization	Compl			oreign Government - Controlled I
		ate foundation	Estate			oreign Government - Integral Par
	Grantor trust Disr If you entered disregarded entity, partnership, s	egarded entity		itional organiz		Voe 7 complete Part III Voe
5						
	Chapter 4 Status (FATCA status) (See instructions for details and complete the certification below for the entity's applicable status.)  Nonparticipating FFI (including an FFI related to a Reporting IGA Nonreporting IGA FFI. Complete Part XII.					
	FFI other than a deemed-compliar exempt beneficial owner).	t FFI, participating FFI,	or		overnment, govern nk of issue. Comp	ment of a U.S. possession, or fo lete Part XIII.
	Participating FFI.	В		Internation	nal organization. C	omplete Part XIV.
	Reporting Model 1 FFI.				tirement plans. Co	
	Reporting Model 2 FFI. Registered deemed-compliant FFI	(other than a reporting	Model 1			pt beneficial owners. Complete Pa . Complete Part XVII.
	FFI, sponsored FFI, or nonreportin					o entity. Complete Part XVIII.
	See instructions.					up company. Complete Part XIX
	Sponsored FFI. Complete Part IV.					in liquidation or bankruptcy.
	Certified deemed-compliant nonre	gistering local bank. Co	omplete	Complete		
	Part V.				anization. Comple	
	Certified deemed-compliant FFI w	ith only low-value accor	unts.		organization. Com	
	Complete Part VI.  Certified deemed-compliant spons	sored, closely held inves	stment	corporation	n. Complete Part	
	vehicle. Complete Part VII.  Certified deemed-compliant limited	life debt investment entit	y.	Active NF	territory NFFE. Co FE. Complete Part	XXV.
	Complete Part VIII.  Certain investment entities that do n	at assistais foresist ass			FFE. Complete Pa	rt XXVI. Complete Part XXVII.
	Complete Part IX.	ot maintain ilitariciai acc	ounts.		orting NFFE.	Complete Part AAVII.
	Owner-documented FFI. Complete	Part X.				NFFE. Complete Part XXVIII.
	Restricted distributor. Complete Part XI.			Account that is not a financial account.		
	Restricted distributor. Complete P	art XI.		Account t	hat is not a financi	al account.
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#### B. 第一部分(实益拥有人身分)(表格第1页)

第1栏 实益拥有人实体全名

第2栏 公司或机构成立或组建的国家/地区

第3栏 获得款项的无行企业实体名称(如适用)

第4栏 第3章身分(实体种类)(必须只勾选一个方格)

第5栏 第4章身分 (FATCA 身分) (实体种类) (必须只勾选一个方格)

#### 第6栏 永久居住地址

在第一行填写完整的街道地址,在第二行填写城市或城镇、州或省,包括邮政编码,并于国家方格填写「国家/地区」。

请勿使用:

邮政信箱或代收批址

第三方名称

金融机构的地址

美国地址

第7栏 邮寄地址必须填写「国家/地区」于国家方格内。

如果您的邮寄地址与永久居住地址不同,请填写邮寄地址。

**注意**:如果填写美国邮寄地址,必须书面说明使用美国邮寄地址的原因。如果填写的国家/地区与永久居住地所在国家/地区不同,也必须书面说明原因。

#### 第8 栏 美国纳税人识别号码 (TIN) (如需要)

填写雇主身分识别号码 (EIN) (如有)。

第9a栏 填写您的全球中介机构识别号码 (GIIN)。

第9b栏 填写您的外国纳税人识别号码 (Foreign TIN) (如有)。

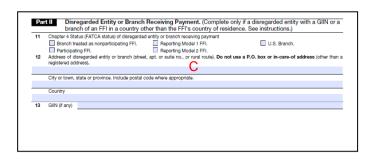
第9c栏 如您的居留司法管辖区在法律并不要求您取得外国纳税人识别号码 (包括该司法管辖区没有向其居民发出税务编号), 勾选此格。

如果没有全球中介机构识别号码,并且计划马上申请,或者您已经申请了全球中介机构识别号码,请在全球中介机构识别号码一栏填写「Applied For」(已申请)。您必须在60天内向我们提供您的全球中介机构识别号码。

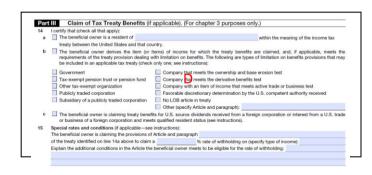
第10栏 留空。

注意: 有关谁是实益拥有人的进一步说明,请参阅 W-8BEN-E 指引

## W-8BEN-E 美国预扣税及申报实益拥有人身分证明(实体)



C. 第二部分(表格第 页) 获得款项的无行企业实体或分公司



D. 第三部分(申请税务协定利益)(如适用)(只供第3章使用)

仅当您是协定国/地区居民并有权申请税务协定利益,即您收到源自美国的固定或可确定年度或定期 (FDAP) 收入(如股息)时,才需填写本节内容。

**第14a栏** 勾选方格,并填写居住「国家/地区」

**第14b栏或第14c栏** 勾选其中一个方格(如适用)。

利益限制条款(LoB) 勾选实体申请协定利益所依据的条款。

第15栏 特別税率及条件(如适用,请参阅指引)

**注意**:如果您对是否合资格申请税务协定利益存有疑问,我們建议您寻求独立税务意见。

## W-8BEN-E 美国预扣税及申报实益拥有人身分证明 (实体)

Ch	apter 4 Status (FATCA status) (See instructions for details and compl	
	Nonparticipating FFI (including a limited FFI or an FFI related to a Reporting IGA FFI other than a deemed-compliant FFI, participating FFI, or exempt beneficial owner).	Nonreporting IGA FFI. Complete Part XII. Foreign government, government of a U.S. possession, or foreign central bank of issue. Complete Part XIII.
	Participating FFI.	☐ International organization. Complete Part XIV.
	Reporting Model 1 FFI.	Exempt retirement plans. Complete Part XV.
	Reporting Model 2 FFI.	☐ Entity wholly owned by exempt beneficial owners. Complete Part XV
	Registered deemed-compliant FFI (other than a reporting Model 1	☐ Territory financial institution. Complete Part XVII.
	FFI, sponsored FFI, or nonreporting IGA FFI covered in Part XII).	☐ Nonfinancial group entity. Complete Part XVIII.
	See instructions.	Excepted nonfinancial start-up company. Complete Part XIX.
	Sponsored FFI. Complete Part IV.	Excepted nonfinancial entity in liquidation or bankruptcy.
	Certified deemed-compliant nonregistering local bank. Complete	Complete Part XX.
	Part V.	501(c) organization. Complete Part XXI.
	Certified deemed-compliant FFI with only low-value accounts.	☐ Nonprofit organization. Complete Part XXII.
	Complete Part VI.	☐ Publicly traded NFFE or NFFE affiliate of a publicly traded
	Certified deemed-compliant sponsored, closely held investment	corporation, Complete Part XXIII.
	vehicle. Complete Part VII.	Excepted territory NFFE, Complete Part XXIV.
	Certified deemed-compliant limited life debt investment entity.	☐ Active NFFE. Complete Part XXV.
	Complete Part VIII.	Passive NFFE, Complete Part XXVI.
	Certified deemed-compliant investment advisors and investment	□ Excepted inter-affiliate FFI. Complete Part XXVII.
	managers. Complete Part IX.	☐ Direct reporting NFFE.
	Owner-documented FFI. Complete Part X.	Sponsored direct reporting NFFE. Complete Part XXVIII.
	Destricted distributes Commission Dark VI	Assessed that is not a financial assessed

#### E. 第四部分至第二十八部分

表格的余下部分(第四部分至第二十八部分)包括您在第一部分第5栏勾选的第4章身分相关的具体证明

就表格本部分而言,您只需填写所适用的第4章身分证明。

## 例子

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Operates and is licensed solely as a bank or credit union (or similar cooperative credit organization operated without profit) in its country of incorporation or organization.

 Engages primarily in the business of receiving deposits from and making loans to, with respect to a bank, retail customers unrelated to such bank and, with respect to a credit union or similar cooperative credit organization, members, provided that no member has a greater than five percent interest in such credit union cooperative credit organization;

Does not solicit account holders outside its country of organization;

Has no fixed place of business outside such country (for this purpose, a fixed place of business does not include a location that is not
advertised to the public and from which the FFI performs solely administrative support functions);

 Has no more than \$175 million in assets on its balance sheet and, if it is a member of an expanded affiliated group, the group has no more than \$500 million in total assets on its consolidated or combined balance sheets; and

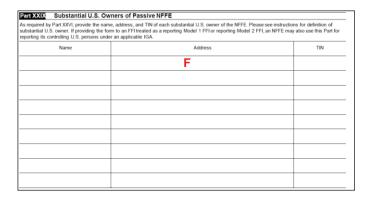
Does not have any member of its expanded affiliated group that is a foreign financial institution, other than a foreign financial institution that
is incorporated or organized in the same country as the FFI identified in Part I and that meets the requirements set forth in this Part V.

#### 例子:

若实体选择第一部分第5栏为「经认证视为合规的非注册本地银行」,实体必须填写W-8BEN-E表格內的 第五部分。

您将注意到,需要填写的章节标题与第一部分第5栏所选择的第4章身分(FATCA身分)相同,而第一部分第5栏亦载有需填写章节的说明,即本例子中的第五部分。

## W-8BEN-E-美国预提税及申报实益拥有人身分证明(实体)



F. 第二十九部分 被动非金融外国实体的实质美国拥有人(如第二十六部分已填写或于适用时,才需填写此部分。)

请提供每名被动非金融外国实体的实质美国拥有人的姓名、地址及纳税人识别号码。

请参阅W-8BEN-E表格內的指引,以查看实质美国拥有人的定义。

Under penalties of perjury, I certify under penalties of per	declare that I have examined the information on this form and to the bright that:	est of my knowledge and belief it is	true, correct, and complete. I furthe			
	ed on line 1 of this form is the beneficial owner of all the income or pro s, or is submitting this form for purposes of section 605 War 6050Y;	seeds to which this form relates, is u	sing this form to certify its status fo			
The entity identified on line 1 of this form is not a U.S. person;						
conduct of a trade		) income effectively connected with the ir's share of a partnership's effectively ing under section 1446(f); and				
<ul> <li>For broker transa</li> </ul>	ctions or barter exchanges, the beneficial owner is an exempt foreign pe	an exempt foreign person as defined in the instructions.				
owner or any withholding ag	form to be provided to any withholding agent that has control, receipt ent that can disburse or make payments of the income of which the enti-	ty on line 1 is the beneficial owner.	the entity on line 1 is the beneficia			
I agree that I will submit a	new form within 30 days if any certification on this form becomes in	correct.				
I certify that I have t	he capacity to sign for the entity identified on line 1 of this fo	rm.				
Sign Here	1	2	3			
Sk	gnature of individual authorized to sign for beneficial owner	Print Name	Date (MM-DD-YYYY)			

#### G. 第三十部分(证明) (表格第8页)

您必须得到授权才可代表此表格第1栏指明的实体签署。

- 1. 请签署表格。
- 2. 请在签署旁以正楷填写您的姓名。
- 3. 请以「月月/日日/年年年年」的格式填写日期。
- 4. 请於这里勾选「本人证明本人有权代表此表格第 1 栏指明的实体签署 1 。

注意:除非授权书特别注明代理人/律师可以签署税务文件或税务表格(并提供或持有相关副本),或者提供国税局2848表格,否则本表格不得通过授权书授权签署。

#### 恒生不能提供任何稅務建議。

如需有关建议,请咨询独立税务顾问